Receipt date:

10799502 - GAU: 28

**PATENT** Docket No.: 1176/220

## CERTIFICATE OF MAILING BY "FIRST CLASS MAIL"

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on February 8, 2007.

Julie Nguyen

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

Chang, Yi-Hui

10/799,502 Serial No.:

Filing Date: March 11, 2004

For: LIGHT COUPLING STRUCTURE ON

LIGHT GUIDE PLATE IN A

BACKLIGHT MODULE (as previously

amended)

Examiner: Chowdhury, Tarifur Rashid

Group Art Unit: 2871

## INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 C.F.R. § 1.97 and § 1.98, applicant submits for consideration in the aboveidentified application the documents listed on the attached Form PTO/SB/08. Copies of the foreign documents are also submitted herewith. The Examiner is requested to make these documents of record.

Receipt date: 02/12/2007 10799502 - GAU: 2871

	This information disclosure statement is submitted.					
	Within three months of the application filing date or before receipt of a first Office Action					
	on the merits; accordingly, no fee or separate requirements are required.					
	After receipt of a first Office Action on the merits but before a final Office Action or					
	Notice of Allowance.					
	A fee is required. A credit card payment form (PTO-2038) for payment of the					
	fees set forth in 37 C.F.R. § 1.17(p) is attached.					
	A Certification under 37 C.F.R. § 1.97(e) is provided below; accordingly; no fee is believed to be due.					
	After receipt of a final Office Action or Notice of Allowance, but before payment of the					
	issue fee. A credit card payment form (PTO-2038) for payment of the fees set forth in 37					
	C.F.R. § 1.17(p), and a Certification under 37 C.F.R. § 1.97(e) are provided herein.					
	The undersigned declares that:					
$\boxtimes$	Each item of information contained in the information disclosure statement was cited in a					
	communication from a foreign patent office in a counterpart foreign application not more					
	than three months prior to the filing of the information disclosure statement.					
	No item of information contained in the information disclosure statement was cited in a					
	communication from a foreign patent office in a counterpart foreign application, and, to the					
	knowledge of the person signing the statement after making reasonable inquiry, no item of					
	information contained in the information disclosure statement was known to any individua					
	designated in § 1.56(c) more than three months prior to the filing of the information					
	disclosure statement.					

Serial No.: 10/799,502 Docket No.: 1176/220 Receipt date: 02/12/2007 10799502 - GAU: 2871

The Assistant Commissioner is hereby authorized to charge any fees which may be

required by this statement to **Deposit Account Number 501288** referencing docket no.

1176/220.

Applicants would appreciate the Examiner initialing and returning the Form PTO/SB/08,

indicating that the information has been considered and made of record herein.

This Information Disclosure Statement under 37 C.F.R. § 1.97 is not to be construed as a

representation that: (i) a complete search has been made; (ii) additional information material to

the examination of this application does not exist; (iii) the information, protocols, results and the

like reported by third parties are accurate or enabling; or (iv) the above information constitutes

prior art to the subject invention.

Dated: February 8, 2007

Respectfully submitted,

By:

/Wen Liu, Reg. #32822/

Wen Liu

Registration No. 32,822

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Serial No.: 10/799,502

Docket No.: 1176/220

Receipt date: 02/12/2007 FEB 1 2 2007

PTO/SB/08A (09-06) Approved for use through 03/31/2007. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known			
Application Number	10/799,502		
Filing Date .	March 11, 2004		
First Named Inventor	Chang, Yi-Hui		
Art Unit	2871		
Examiner Name	Chowdhury, Tarifur Rashid		
Attorney Docket Number	1176/220		

U. S. PATENT DOCUMENTS					
Examiner Initials*	Cite No.1	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	ļ. ——	Number-Kind Code <sup>2 (f known)</sup>			Tigates Appeal
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		FOREIGN	PATENT DOCL	IMENTS		
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	т6
		Country Code <sup>3 -</sup> Number <sup>4 -</sup> Kind Code <sup>5</sup> (if known)	MM-DD-YYYY		Or Relevant Figures Appear	1,
	1.	JP 2001-23423	01-26-2001	Citizen Electronics		
	2.	CN 2599602	01-14-2004	Meiyan Entpr Group Co Ltd		
	- 1	   <del>    REFERENCES CONSIDERE</del> E	EXCEPT V	 	UGH: /MQ/	

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Examiner	/Zhi Oi/		Date	07/00/0000
Signature	/2.111 (31/	0	Considered	07729/2008

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.